

Looking Ahead: Research Security Training Program

Panel Discussion



2023 SYMPOSIUM
FOR RESEARCH ADMINISTRATORS

Panelists

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What is Research Security Training Program (RSTP) ?

- Outlined in White House issued memorandum January 2021, confirmed January 2022
- Draft Guidance issued Spring 2023
- Required training for personnel (various categories) associated with research awards or contracts supported by federal funds

RSTP Components



Four areas specified in guidance documents.



Research related generally; can also be tied to a particular research grant.

Research Security Training

Research Science and Security, Office of VC Research

We will be required to:

- Provide new research security training on specific topics.
- Provide training for new personnel at “orientation”.
- Provide refresher training for all personnel involved in research.
- Regularly update training.

Research Security Training

Research Science and Security, Office of VC Research

We will also be required to:

- Track training in such a way the University can certify, at proposal or award, that applicable personnel have completed it.
- Certify annually, as an Institution, that our training program meets the new requirements.
- Conduct tailored training if a research security break finding occurs and keep a record of that training for affected individuals.

Research Security Training

Research Science and Security, Office of VC Research

The Research Security training must include some info on these topics.

Research Security Threat Awareness	Identifying, managing and mitigating risk
Research Security Threat Identification	Proper use of funds
Insider Threats	Value and challenges of International Collaborations
Why research security is important	IP and data protection requirements and best practices
What constitutes foreign interference	Responsible international travel practices
Importance of non-discrimination as a guiding principle of research security	Cybersecurity hygiene and data protection practices
Disclosure policy including COI and CoC	

Foreign Travel Security

Office of the Vice Provost for Global Affairs

Likely Requirements:

International travel policies for covered individuals

- Organizational record of covered international travel
- Disclosure and authorization requirements in advance of international travel
- Mandatory security briefings
- Advice regarding electronic device security

Cybersecurity Training

Information Security Office, Information Technology Services

Likely Topics

- Basic security hygiene
- Social engineering
- Data protection
- Breaches

Export Control Training

Export Control Office, Inst Integrity & Risk Management

Use Current Federal Requirements as applicable

- Online Training Modules
- Visual Compliance: Initiating A Restricted Party Screening
- <https://iirm.unc.edu/export-control/export-compliance-guidance-and-training/>

Upcoming Training Pieces

- Additional modules (sponsored research, controlled), video (shipping) and guidance documents (international travel)

What Don't We Know?

- Exact timeline for implementation: One year after the final version of the RSTP requirements are issued. ??? Regarding date of final version.
- Shape of Training: In person? On-line? Video? Possible mix of all of them.
- Who has to be trained: All researchers, possibility of students, and likely staff.



What Don't We Know?

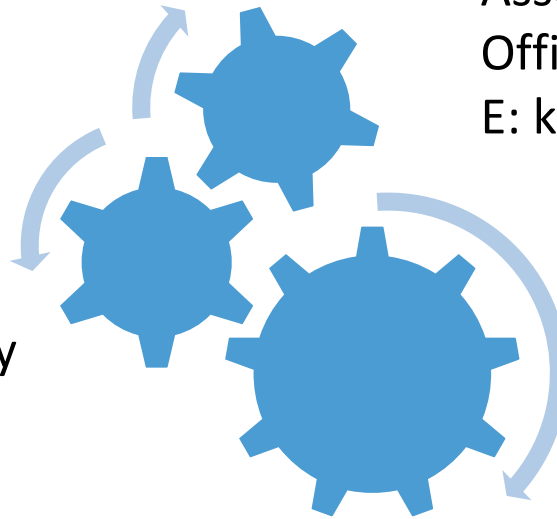


- Coordination: How can/will the University integrate this training into other existing trainings such as RCR?
- Timing of training: TBD. Likely it must be completed by individual at time of proposal and definitely by time of award.
- Frequency: Unknown and variable for the four components.

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Questions?





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