

RAMSeS IPF

Research Administration Management System & eSubmission

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RAMSeS IPF Overview



Overview of Proposal Intake Screens



Some General Tips & Tricks



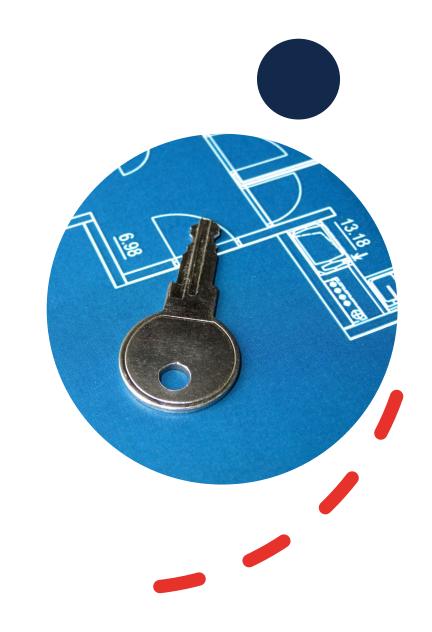
Documents/Attachments Review



Final Notes/Thoughts



Questions





OVERVIEW

What is RAMSeS IPF?

- Internal Processing Form (IPF)
 - Frequently referred to as an "IPF", "eIPF", "proposal", or "internal proposal"
 - Required whenever a Principal Investigator (PI) anticipates receiving or plans to compete for new funding
 - Collects financial and compliance information and documentation needed for internal review and approval

General Info Screen



Funding Agency – our sponsor, regardless of where the funding ultimately originated



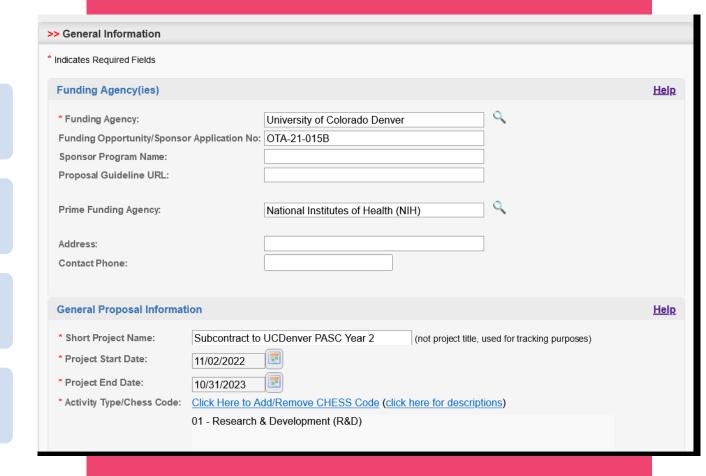
Prime Funding Agency – the original source of funding



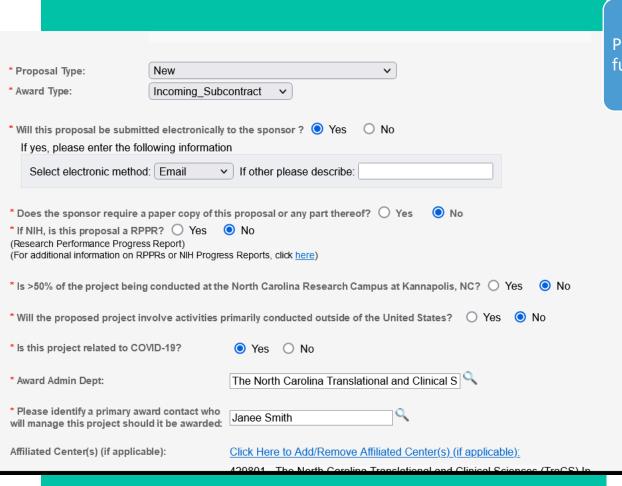
Short Project Name – used as an internal reference, not associated with the formal application



Project Start and End Date – Check for allowability in the project guidelines



General Info Screen Continued



Proposal Type – Can impact the award setup if funded

Award Type – includes options such as contract, grant, incoming subaward, clinical trial, cooperative agreement, and NIH grant

Submission Method – how materials should be submitted to the sponsor (note: this should be verified via the proposal guidelines)

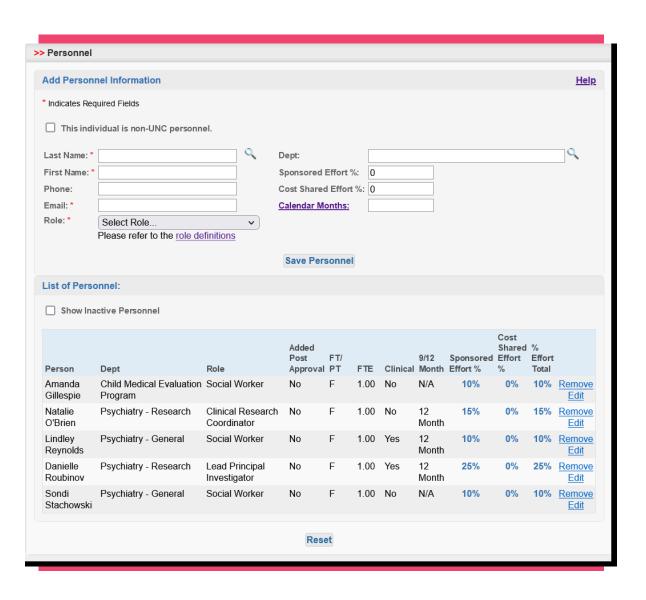
Sponsor Deadline – This should represent UNC-CH's true sponsor deadline (note if we are a subaward our deadline may be earlier). Submissions without a firm deadline should allow for our required 5 business days to review.

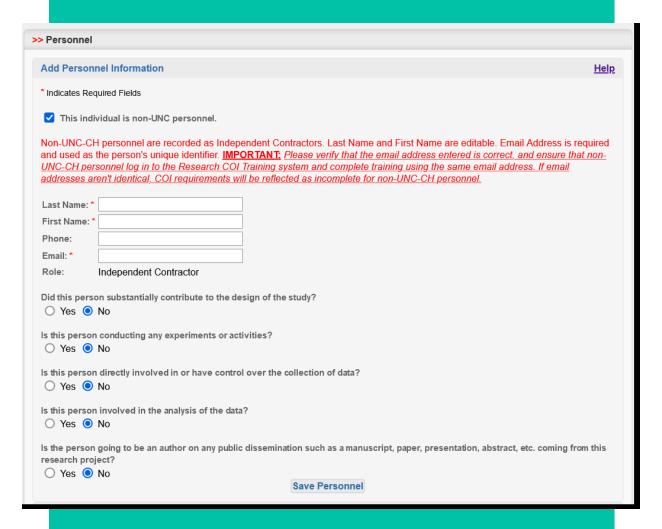
Personnel

PI eligibility and commitment of a minimum of 1% effort

UNC personnel listed in the budget attachment should also be included on the Personnel tab

Confirm the selected role for each person aligns with the description of their contribution to the project





Non-UNC Personnel

Also referred to as Independent Contractors/
Consultants

Personal email address must be used (it's their identifier for COI training purposes)

Are not permitted to use an email address affiliated with another institution

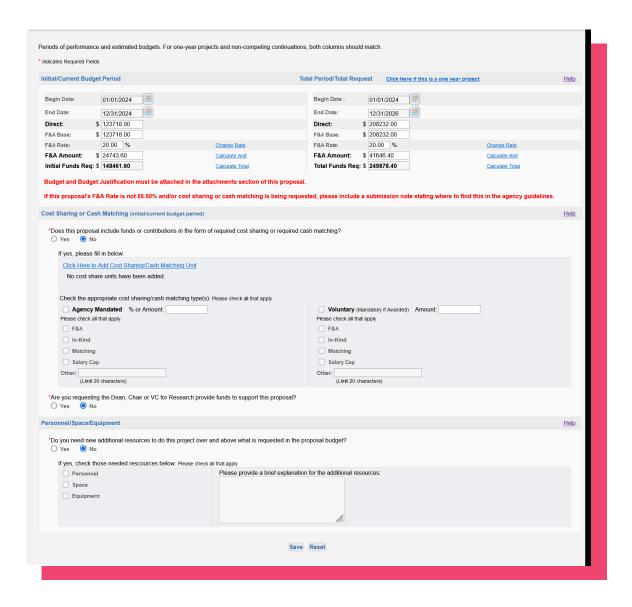
Questions to be answered by PI based on the individual's role/contribution to the project

Budget

Confirm appropriate F&A rate and base type

https://policies.unc.edu/TDClient/2833/Portal/KB/ArticleDet?ID=132294

- Check for indications of cost share or matching commitments
- Confirm budget and project period dates align with sponsor guidelines

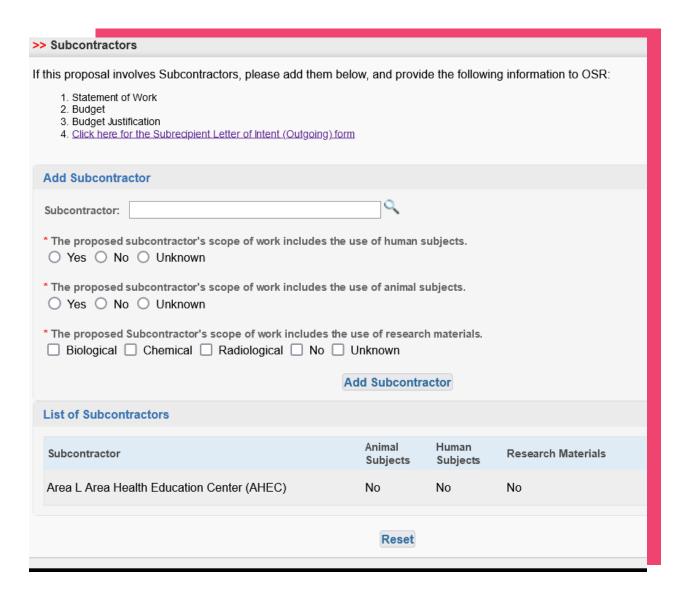


Subcontractors

- Review subaward documents provided and confirm consistency with IPF
- Confirm subrecipient has a compliant COI policy:

https://thefdp.org/default/fcoiclearinghouse/compliant-entities/

- Required Attachments:
 - Letter of Intent (with signatures)
 - AOR signature is required, information provided should match the one added in RAMSeS.
 - Scope of Work
 - Detailed budget and justification



Subcontractor Personnel

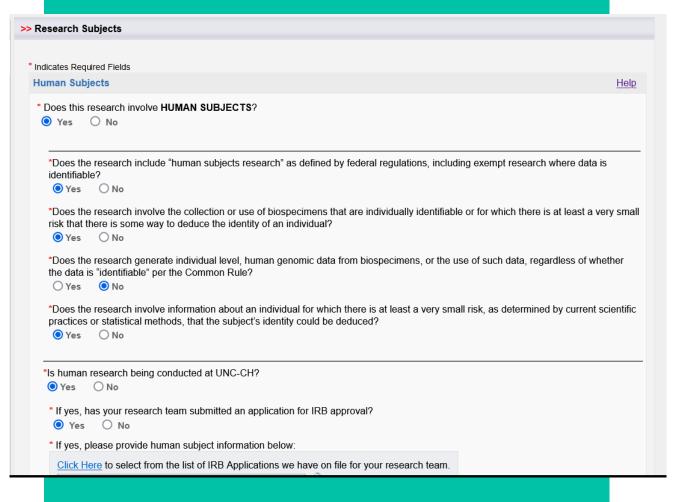


COI

 Subrecipients that do not have an active and/or enforced Conflict of Interest policy which meets the PHS or NSF standards needs to abide by the UNC-CH Individual Conflicts of Interest policy.

Subcontractor **Personnel**

 The subrecipient's personnel information should be input into the IPF under the "Subcontractor Personnel" tab.

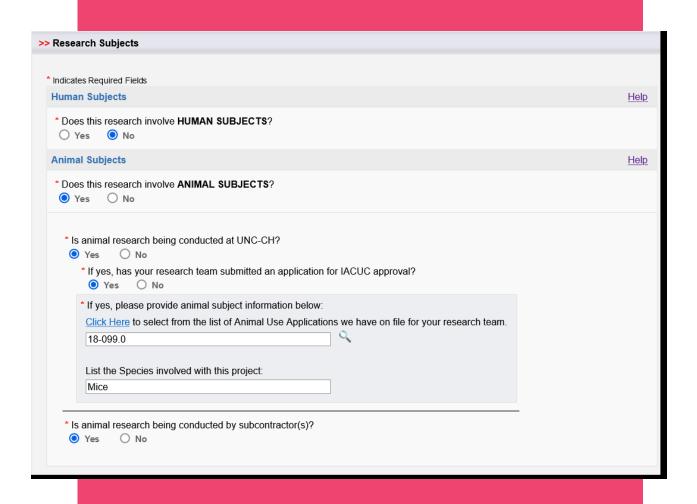


Research Subjects

- Required if human subject research is to take place at UNC-CH or a subsite under an award
- IRB approval is required to engage in human subject research; however, it's not required at the time of proposal. If it has not been received, the department can indicate "JIT" or "Not yet submitted".

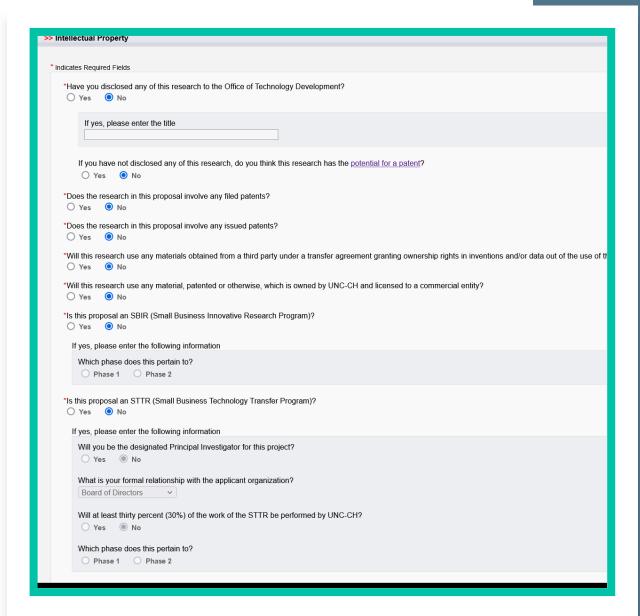
Research Subjects

- Required if the project includes the use of animal subjects (by UNC-CH or a subrecipient)
- If an application has been submitted for IACUC approval at UNC-CH, confirm PI name, title/funding agency, date(s), and species involved with the project align with what is being proposed.

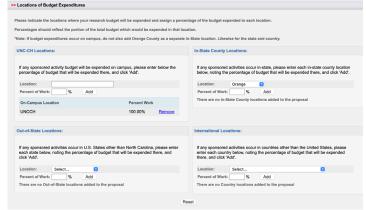


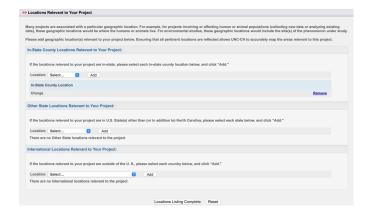
Intellectual Property

- Details regarding IP are mostly included in the application package;
- If the materials, data, and inventions incorporated into the proposed project are subject to licensing or transfer agreements, the terms can conflict with the incoming agreement, restricting what we are able to accept/delaying the setup of the project.
- The Office of Technology Commercialization (OTC) receives funding notifications automatically if "Yes" is selected for one of the IP questions in case their assistance is required.
- If the proposal is an SBIR/STTR, the phase should be selected, UNC waives F&A for Phase 1.



Additional IPF Tabs for Review





Community Engagement



Locations of Budget Expenditures



Locations Relevant to Your Project

REVIEWING THE IPF

Research Material

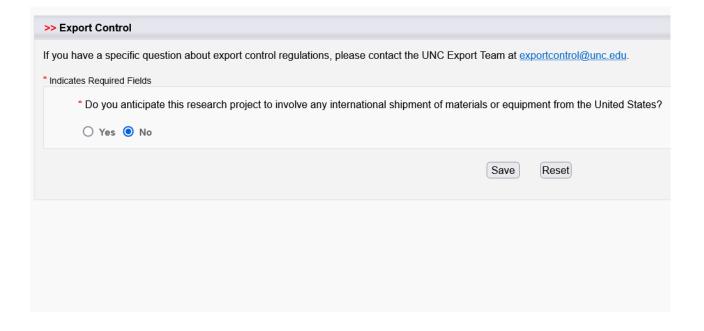
- For projects that involve the use of radioactive, hazardous chemical, or biological materials, additional safety regulations may be required.
- Based on these responses, the departments will be made aware of potential requirements and which units they should collaborate with so correctly identifying this information is important.

If yes, please contact the <u>Chemical Satety Section</u> of EHS to understand the requirements. The use of hazardous materials requires compliance with the University's Chemical Hygiene Plan.					
Biological Safety					
* Does this study involve the use of Biological Materials ? O Yes O No					
* Does your proposed research involve recombi (Lab Safety Plan Schedule G)? Yes No	inant or synthetic nucleic acid research that is not covered by a current IBC registration				
* If yes, then a Schedule G approval will be required. Click here for more information. * Does your proposed research involve an upgrade in containment to Biosafety Level 2+ or Biosafety Level 3? O Biosafety Level 2+ O Biosafety Level 3 No * Does your proposed research involve select agents or toxins that are not currently registered with the federal Select Agents and Toxins program? (The list of select agents and toxins is available here.) O Yes No					
			Yes No * If yes, the research may constitute Dual Use Research of Concern, which will require IBC approval and this activity must be coordinated with the Biological Safety Section of EHS.		
			* Check all that apply:		
 Avian influenza virus (highly pathogenic) 	☐ Marburg virus				
Bacillus anthracis	Reconstructed 1918 Influenza virus				
Botulinum neurotoxin (any quantity)	Rinderpest virus				
Burkholderia mallei	☐ Toxin-producing strains of Clostridium botulinum				
Burkholderia pseudomallei Ebola virus	☐ Variola major virus				
Foot-and-mouth disease virus	☐ Variola minor virus				
Francisella tularensis	☐ Yersinia pestis				
_ Transisella tulaterisis					
Experimental Effects:					
 Enhances the harmful consequences of Disrupts immunity or the effectiveness o justification. 	the agent or toxin. of an immunization against the agent or toxin without clinical and/or agricultural				
 Confers to the agent or toxin resistance to clinically and/or agriculturally useful prophylactic or therapeutic interventions against that agent or toxin or facilitates their ability to evade detection methodologies. 					

REVIEWING THE IPF

Export Control

- Depending on their response, the department is placed in contact with the Export Control Office.
- Department of Defense, NASA, and Department of Energy and National Labs are more likely to include export control related language in their guidelines.





Document Review

RAMSES PRE-AWARD

Attachments

Required documents will vary based on the intent of the IPF. Frequently encountered examples:

- Funding Announcement/Proposal Guidelines
- Internal Budget
- Budget Justification
- Scope of Work
- Letter of Intent

- Subrecipient Documents (budget, budget justification, scope of work, letter of intent)
- Final/Submitted proposal
- Draft agreement
- F&A Waiver Request
- Cost share commitment letter



Proposal Guidelines



Proposal due date



Formatting requirements



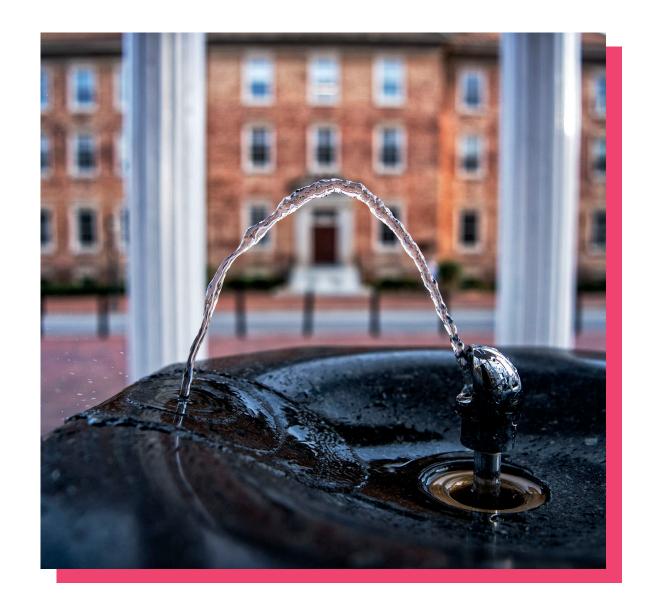
Restrictions (budgetary, PI eligibility, F&A)



Special terms and conditions (OCI, terms applicable to post award management, export control)

Budget & Budget Justification

- Review funding announcement or sponsor guidelines for salary caps or restrictions
- Confirm UNC-CH personnel institutional salary and fringe rates
- Confirm correct F&A rate and base is being used for calculation
- Confirm that amounts requested in the budget match the justification





Scope of Work

- Ensure the Scope of Work contains enough detail to demonstrate our proposed work.
- If it contradicts the IPF or the other proposal materials, the SPS or Grant Analyst will request clarification from the department.



Subcontract Documents

- Confirm consistency between Letter of Intent, Scope of Work, and Budget.
 - Should also be consistent with the Subcontractor tab of the IPF
- Ensure we have the subrecipient's institutional signature on the Letter of Intent.

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PROPOSAL REVIEW

Internal Proposal Deadlines

5 business days

prior to sponsor deadline

- Final administrative components
- Draft technical components
- Draft application package
- Routed RAMSeS IPF

2 business days

prior to sponsor deadline

- Final administrative components
- Final technical components
- Final application package
- Final attachments

PROPOSAL REVIEW

Risks of Late Submission with a Partial Review

Key Components in Full Review	RISKS with only a Partial Review
✓ Compliance and congruency check with sponsor and agency guidelines	May result in missed compliance considerations prior to proposal submission.
✓ Adheres to University guidelines and documentation requirements	May result in noncompliance with established internal procedures and requirements.
✓ Administrative components have overall accuracy and meet formatting requirements	May result in extensive revisions and delays in award issuance.
✓ Technical components meet formatting requirements	May result in application errors and/or rejection from sponsor.

PROPOSAL REVIEW

Final Notes/Thoughts



Early communication between OSP or SPO and department is very helpful to allow as much time for review as possible.



A copy of the submitted proposal should be uploaded to the IPF (confidential information can be redacted if submitted by another party).



Take advantage of reviewing past IPFs to help inform current practices, but be aware that guidance and processes are always changing.



If you notice anything unusual at the time of the proposal stage, take advantage of the notes feature in the IPF to ensure it doesn't slip through the cracks.

Resources

GENERAL QUESTIONS: OFFICE OF SPONSORED PROGRAMS AT: SPONSOREDPROGRAMS@UNC.EDU

PROPOSAL SPECIFIC QUESTIONS: ASSIGNED SPONSORED PROGRAMS SPECIALIST

GENERAL QUESTIONS: SCHOOL OF MEDICINE SPONSORED PROGRAMS OFFICER AT:

<u>GRANTS@UNC.EDU</u>

PROPOSAL SPECIFIC QUESTIONS: ASSIGNED GRANTS ANALYST

Questions?

