

Science & Security Panel

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2020

UNC SYMPOSIUM

for

RESEARCH ADMINISTRATORS

Science and Security Overview

The Federal Government has stated three main areas of concern regarding the security of the U.S. research enterprise:

Transparency	Confidentiality	Security
They believe that researchers may not be fully disclosing all the resources available to them for supporting their research endeavors	They believe that both government employees and scientific reviewers may be sharing confidential information from the research application peer review process	They believe that the higher education institutions may not have strong enough infrastructures and policies/procedures in place to properly secure research data and intellectual property generated from government-funded research projects.

Background – Federal Notices

Strengthening the U.S. Research Environment

Jennifer Shieh
Office of Science and Technology Policy
June 6, 2019

Reminders of NIH Policies on Other Support and on Policies related to Financial Conflicts of Interest and Foreign Components

Notice Number: NOT-OD-19-114

Key Dates

Release Date: July 10, 2019

Regulatory Landscape

- Presidential *Proclamation on the Suspension of Entry as Nonimmigrants of Certain Students and Researchers from the People's Republic of China*, May 29, 2020
- NIH NOT-OD-19-114 *Reminders of NIH Policies on Other Support and on Policies Related to Financial Conflicts of Interest and Foreign Components*
- NIH *Grants Policy Statement*
- NSF PAPPG
 - Effective Date: June 1, 2020 II.C.2.f & h. (Current & Pending Support and Biosketches)
- OSTP JCORE activities
- Dept. of Energy Order 486.1 & Technology Risk Matrix

COGR
Council On Governmental Relations

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OCTOBER 2020

Enhancing the Security and Integrity of America's Research Enterprise

The White House Office of Science and Technology Policy

www.whitehouse.gov/ostp
www.ostp.gov
@WHOSTP

News Release 19-023

December 2019

NSF releases JASON report on research security

NSF-commissioned study by the independent JASON group calls foreign influence threat a complex issue that 'can be addressed within the framework of research integrity'

Science & Security in the News

Crime

Former Cleveland Clinic researcher charged with fraud for failing to disclose China ties

Updated May 14, 2020; Posted May 14, 2020

MD Anderson Ousts Three Scientists Over Intellectual Property Theft Concerns

Published: Apr 22, 2019 | By Alex Keown

FBI official addresses China influence investigations at Moffitt Cancer Center, UF

Florida lawmakers were briefed on the investigations into Chinese attempts to meddle – and steal – American-funded research.

Harvard professor indicted for allegedly making false statements about secret work in Wuhan. ... Charles Lieber, 61, the former Chair of **Harvard** University's **Chemistry** and **Chemical** Biology Department, was **arrested** in January and is slated to be arraigned in federal court in Boston at a later date. Jun 9, 2020



www.cnbc.com › 2020/06/09 › harvard-professor-indicte...

[Harvard professor indicted for false statements about work in ...](#)

Resources – Office of Sponsored Research (OSR)

The Office of Sponsored Research often serves as the first point of contact on general Science and Security issues and works closely with other units, especially SOM.

Research Administration	Global Engagement	OSR Contact Information
<p>OSR Science and Security Website</p> <p>https://research.unc.edu/sponsored-research/resources/science-security/</p> <ul style="list-style-type: none"> • Training • FAQ's <p>https://research.unc.edu/sponsored-research/forms/#</p>	<p>Webinars:</p> <ul style="list-style-type: none"> • ASPPH Presents: Managing Compliance Challenges Involving Global Collaborators • Enhancing the Security and Integrity of America's Research Enterprise <p>Key Reference Document:</p> <ul style="list-style-type: none"> • COGR Framework for Review of Individual Global Engagements in Research 	<p>For Science & Security general questions and questions related to non-School of Medicine researchers, please contact:</p> <p>Brian Collier, Assistant Director, OSR bcollier@email.unc.edu</p>

Resources – Sponsored Programs Office (SPO)

The Sponsored Programs Office, School of Medicine works in close collaboration with the Office of Sponsored Research on all matters pertaining to research administration.

NIH Other Support	Foreign Component	SPO Contact Information
<p>Best Practices – The NIH Other Support Document</p> <p>https://www.med.unc.edu/spo/spo-knows/best-practices-the-nih-other-support-document/</p>	<p>Best Practices – Foreign Component</p> <p>https://www.med.unc.edu/spo/spo-knows/best-practices-foreign-component/</p>	<p>For Questions regarding Science & Security for School of Medicine Researchers, please contact:</p> <p>Sherry Whitaker, Director, SPO sherry_whitaker@med.unc.edu</p> <p>Jim Kenny, Outreach Specialist/Grants Analyst, SPO jimkenny@med.unc.edu</p>

Science and Security Planning Team



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Roxana Boyd

Goals:

Conduct gap analysis of policies and processes.

Focus:

- visiting scholars
- conflict of commitment
- conflict of interest
- export
- data/IT security

Panel Questions

Information

If I have a question related to Science and Security, where should I go for an answer?

There's a lot of information on the OSR webpage. Is there a reference guide available that can help us with our faculty versus research people?

Panel Questions

Trainings

What trainings are available for Science and Security?

Are any trainings mandatory or is there a plan to make them mandatory?

Panel Questions

Visiting Scholars and/or Foreign Nationals

Is there more information or guidance on visiting scholars or postdocs? Is there specific training to help guide us on being compliant here?

What can Departments do to ensure appropriate participation by foreign-nationals on University research projects? Are any standard operating procedures in place?

Panel Questions

Trust/Support

The increased scrutiny on inappropriate foreign influence may be impeding research and research teams through creating distrust. Are there efforts underway to help our researchers know they are trusted?

Panel Questions

NDAA Sec. 889

What is NDAA Section 889? Is this requirement related to science and security?

Panel Questions

Conflict of Interest or Commitment

Can you explain how conflict of interest is involved in Science and Security? What about conflict of commitment?

Panel Questions

Looking Ahead

What is going to be the focus by NIH or other agencies re: Science and Security in the next year?

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